

Attorney Docket No. 532/3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Rafail Zubok et al.

Group Art Unit: 3738

Application No.: 10/688,632

Examiner: Not Assigned

Filing Date: October 17, 2003

For: Instrumentation And Methods For Use In

Implanting A Cervical Disc Replacement

Device

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants respectfully submit this Information Disclosure Statement, identification of the information, and copies thereof if required pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98 in order to comply with the duty of disclosure. The enclosed Form PTO SB/08a/b identifies the references of which Applicants are aware.

The Examiner is respectfully requested to consider the information herein and make it of record in the subject application. It is Applicants' position that the cited information is not a bar to allowance of the claims in this application.

Pursuant to 37 C.F.R. § 1.97(b), this Information Disclosure Statement is being filed within three months of the filing date or the application has not yet received a substantive Office Action,

I hereby certify that this correspondence is being exposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Bux 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: June 11, 2004

Signature:

Print Name: Matthew B. Dernier

Application No.: 10/688,632 Attorney Docket No.: 532/3

and accordingly, it is believed that no fee is necessary. However, should the Patent Office determine a fee is due, the Commissioner is authorized to charge any such fee to Deposit Account No. 11-0223.

Dated: June 11, 2004

Respectfully submitted,

Matthew B. Dernier

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PTO/SB/08A (08-03)

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		Application Number	10/688,632		
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	INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor	Rafail Zubok		
S	TATEMENT B	Y APPLICANT	Art Unit	3738	
(Use as many sheets as necessary)			Examiner Name	Not Assigned	
Sheet	1	of 1	Attorney Docket Number	532/3	

Examiner	Cite No. ¹	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
Initials*		Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	
		^{US-} 5,405,400	04/11/1995	Linscheid et al.	
		^{US-} 5,645,605	07/08/1997	Klawitter	
		^{US-} 6,001,130	12/14/1999	Bryan et al.	
		^{US-} 6,113,637	09/05/2000	Gill et al.	
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		FORE	IGN PATENT DOCU	MENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND** TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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